



ONEASIA
CAPITAL

RISK DISCLOSURE STATEMENT

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RISK DISCLOSURE STATEMENT

In consideration of **OAC** agreeing to enter into Over-the-Counter ("OTC") Foreign Exchange Contracts with the undersigned (hereinafter referred to as the "Customer"), Customer acknowledges, understands and agrees that:

1. TRADING IS VERY SPECULATIVE AND RISKY

Futures, Leveraged Foreign Exchange, CFD, Stock Index and Commodities Trading is highly speculative and is suitable only for those customers who (a) understand and are willing to assume the economic and other risks involved, and (b) are financially able to assume losses significantly in excess of Margin or deposits. Futures, Leveraged Foreign Exchange, CFD, Stock Index and Commodities Trading are not an appropriate investment for retirement funds. Customer represents warrants and agrees that Customer understands these risks; that Customer is willing and able, financially and otherwise, to assume the risks of Futures, Leveraged Foreign Exchange, CFD, Stock Index and Commodities Trading.

2. HIGH LEVERAGE AND LOW MARGIN CAN LEAD TO QUICK LOSSES

The high leverage and low Margin associated with Futures, Leveraged Foreign Exchange, CFD, Stock Index and Commodities Trading can result in significant losses due to price changes in Foreign Exchange Contracts and Commodities Contracts. Customers must maintain the Minimum Margin Requirement on their Open Positions at all times. It is the customer's responsibility to monitor his/her Account Balance. **OAC** has the right to liquidate any or all Open Positions whenever the Minimum Margin Requirement is not maintained.

3. PRICES, MARGIN AND VALUATIONS ARE SET BY OAC AND MAY BE DIFFERENT FROM PRICES REPORTED ELSEWHERE

OAC will provide prices to be used in trading, valuation of Customer positions and determination of Margin requirements. Although **OAC** expects that these prices will be reasonably related to prices available in the interbank market, prices reported by **OAC** may vary from prices available to banks and other participants in what is known as the interbank market. **OAC** will exercise considerable discretion in setting and collecting Margin. **OAC** is authorized to convert funds in Customer's Account for Margin into and from such Foreign Currency at a rate of exchange determined by **OAC** in its sole discretion on the basis of then-prevailing money market rates.

4. ONE CLICK TRADING AND IMMEDIATE EXECUTION

OAC's automated order entry system provides immediate transmission of Customer's order once Customer enters the notional amount and clicks "Buy/Sell". There is no "second look" before transmission, and Market Orders cannot be cancelled. This feature may be different from other trading systems. Customer should utilize the Demo Trading System to become familiar with the order entry process before trading online with **OAC**. Customer agrees that by using **OAC's** order-entry system, Customer agrees to the one-click system and accepts the risk of this immediate transmission feature.

5. MARKET RECOMMENDATIONS ARE INFORMATIONAL, CUSTOMER MAKES INDEPENDENT DECISIONS, AND OAC IS NOT AN ADVISER OR A FIDUCIARY TO CUSTOMER

The market recommendations provided by **OAC** do not constitute an offer to buy or sell, or the solicitation of an offer to buy or sell any Futures, Leveraged Foreign Exchange, CFD, Stock Index or Commodities Contracts. Each decision by Customer to enter into a Contract or other transaction with **OAC** and each decision whether a Contract or other transaction is appropriate or proper for Customer is an independent decision by Customer. **OAC** is not acting as an advisor or serving as a fiduciary to Customer. Customer agrees that **OAC** has no fiduciary duty to Customer and no liability in connection with and is not responsible for any liabilities, claims, damages, costs and expenses, including attorneys' fees, incurred in connection with Customer following **OAC's** trading recommendations or taking or not taking any action based upon any recommendation or information provided by **OAC**.

6. RECOMMENDATIONS ARE BASED ON PERSONAL JUDGMENTS AND ARE NOT GUARANTEED

The market recommendations of **OAC** are based solely on the judgment of **OAC's** personnel. These market recommendations may or may not be consistent with the market positions or intentions of **OAC**, its affiliates and employees. The market recommendations of **OAC** are based upon information believed to be reliable, but **OAC** cannot and does not guarantee the accuracy or completeness thereof or represent that following such recommendations will reduce or eliminate the risk inherent in Futures, Leveraged Foreign Exchange, CFD, Stock Index and Commodities Trading.

7. THERE IS NO CENTRAL MARKET OR CLEARINGHOUSE GUARANTEE OF PAYMENT

Futures, Leveraged Foreign Exchange, CFD, Stock Index and Commodities trading with **OAC** are not conducted on a regulated market or exchange. Each Contract is a contract directly between **OAC** and the Customer. There is no clearinghouse and no guarantee by any other party of **OAC's** payment obligations to the customer. Customer must look only to **OAC** for performance on all Contracts in Customer's Account and for return of any Margin or Collateral.

8. NO GUARANTEES OF PROFIT

There are no guarantees of profit or freedom from loss in Futures, Leveraged Foreign Exchange, CFD, Stock Index and Commodities Trading. Customer has received no such guarantees from **OAC** or from any of its representatives. Customer is aware of the risks inherent in Futures, Leveraged Foreign Exchange, CFD, Stock Index and Commodities Trading and is financially able to bear such risks and withstand any losses incurred.

9. CUSTOMER MAY NOT BE ABLE TO CLOSE OPEN POSITIONS

Due to market conditions or other circumstances **OAC** may be unable to close out Customer's position at the level specified by Customer, and Customer agrees **OAC** will bear no liability for failure to do so.

10. THIRD PARTY AGENTS

In the event that Customer grants trading authority or control over Customer's Account to a third party (the "Trading Agent"), whether on a discretionary or non-discretionary basis, **OAC** shall in no way be responsible for reviewing Customer's choice of such Trading Agent or for making any recommendations with respect thereto. **OAC** makes no representations or warranties concerning any Trading Agent; **OAC** shall not be responsible for any loss to Customer occasioned by the actions of the Trading Agent; and **OAC** does not, by implication or otherwise, endorse or approve of the operating methods of the Trading Agent. If Customer gives the Trading Agent authority to exercise any of its rights over its Account, Customer does so at Customer's risk. Even though the undersigned grants authority to Trading Agent, client should be diligent and closely scrutinized all account activities.

11. INTERNET TRADING

Since **OAC** does not control signal power, its reception or routing via Internet, configuration of Customer's equipment or reliability of its connection, **OAC** shall not be liable for any claims, losses, damages, costs or expenses, including attorneys' fees, caused, directly or indirectly, by any breakdown or failure of any transmission or communication system or computer facility or trading software, whether belonging to **OAC**, Customer, any market, or any settlement or clearing system when Customer trades online (via Internet).

12. QUOTING ERRORS

Should a quoting error occur due to a mistype of a quote or a misquote given by electronic means, **OAC** is not liable for any resulting errors in Account Balances and reserves the right to make necessary corrections or adjustments on the Account involved. Any dispute arising from such quoting errors will be resolved on the basis of the fair market value, as determined by **OAC**, in its sole discretion, of the relevant Currency at the time such an error occurred. In cases where the prevailing market represents prices different from the prices **OAC** has posted on our screen, **OAC** will attempt, on a best efforts basis, to execute trades on or close to the prevailing market prices. These prevailing market prices will be the prices, which are ultimately reflected on the Customer Statements. This may or may not adversely affect customer realized and unrealized gains and losses.

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Always Number One



ONEASIA
CAPITAL

Authorised Agent of CMI Group
(New Zealand)